



ATTORNEYS AT LAW



Robert Greene Sterne  
Edward J. Kessler  
Jorge A. Goldstein  
David K.S. Cornwell  
Robert W. Esmond  
Tracy-Gene G. Durkin  
Michele A. Cimbala  
Michael B. Ray  
Robert E. Sokohl  
Eric K. Steffe  
Michael Q. Lee  
Steven R. Ludwig  
John M. Cover  
Linda E. Alcorn  
Robert C. Millonig  
Donald J. Featherstone  
Timothy J. Shea, Jr.  
Lawrence B. Bugaisky  
Michael V. Messinger  
Judith U. Kim

Patrick E. Garrett  
Jeffrey T. Helvey  
Heidi L. Kraus  
Eldora L. Ellison  
Thomas C. Fiala  
Albert L. Ferro\*  
Donald R. Banowitz  
Peter A. Jackman  
Teresa U. Medler  
Jeffrey S. Weaver  
Kendrick P. Patterson  
Vincent L. Capuano  
Brian J. Del Buono  
Virgil Lee Beaston  
Theodore A. Wood  
Elizabeth J. Haanes  
Joseph S. Ostroff  
Frank R. Cotttingham  
Christine M. Lhuillier

Rae Lynn P. Guest  
George S. Bardmesser  
Daniel A. Klein  
Jason D. Eisenberg  
Michael D. Specht  
Andrea J. Kamage  
Tracy L. Muller  
Jon E. Wright  
LuAnne M. DeSantis  
Ann E. Summerfield  
Aric W. Ledford  
Helene C. Carlson  
Cynthia M. Bouchet  
Timothy A. Doyle\*  
Gaby L. Longsworth  
Lori A. Gordon  
Nicole D. Dretar  
Ted J. Ebersole  
Jyoti C. Iyer

Laura A. Vogel  
Michael J. Mancuso  
Bryan S. Wade  
Aaron L. Schwartz  
Michael G. Penn\*  
Matthew E. Kelley\*  
Shannon A. Carroll\*  
Nicole R. Kramer\*  
  
Registered Patent Agents\*  
Karen R. Markowicz  
Nancy J. Leith  
Matthew J. Dowd  
Katrina Yujian Pei Quach  
Bryan L. Skelton  
Robert A. Schwartzman  
Teresa A. Colella  
Jeffrey S. Lundgren  
Victoria S. Rutherford

Michelle K. Holoubek  
Simon J. Elliott  
Julie A. Heider  
Mita Mukherjee  
Scott M. Woodhouse  
Christopher J. Walsh  
Liliana Di Nola-Baron  
Peter A. Socarras

Of Counsel  
Kenneth C. Bass III  
Evan R. Smith  
Marvin C. Guthrie

\*Admitted only in Maryland  
\*Admitted only in Virginia  
•Practice Limited to  
Federal Agencies

April 22, 2005

WRITER'S DIRECT NUMBER:  
(202) 772-8520

INTERNET ADDRESS:  
TWOOD@SKGF.COM

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

*Attn: Certificate of Correction Branch*

Re: U.S. Patent No. 6,844,660 B2; Issued: January 18, 2005  
(from Appl. No. 10/622,707; Filed: July 21, 2003)  
For: **Method for Obtaining Biometric Data for an Individual in a Secure Transaction**  
Patentee: Walter G. SCOTT  
Our Ref: 1823.0150007

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Request for Certificate of Correction Under 37 C.F.R. § 1.322;
2. Completed Form PTO/SB/44, with the noted correction printed thereon; and
3. A copy of the PTO/SB/08a and PTO/SB/08b forms citing references filed in an Information Disclosure Statement on April 19, 2004, and initialed by the Examiner in the Notice of Allowance dated July 7, 2004.

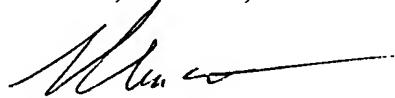
It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Commissioner for Patents  
April 22, 2005  
Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Theodore A. Wood  
Attorney for Patentee  
Registration No. 52,374

MVM/TAW:ddc  
Enclosures

388294\_1.DOC



PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
to a collection of information under the Paperwork Reduction Act. OMB Control No. 0651-0031.

Substitute for ~~1449A/53~~ TRADEMARKS are required to respond to a collection of information unless it displays a valid Owner control number.

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 3 Attorney Docket Number 1823.0150007 /

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if Known)			
MB	AA1	US-4,316,115	02-16-1982	Wilson <i>et al.</i>	—
	AB1	US-4,394,773	07-19-1983	Ruell	—
	AC1	US-4,499,394	02-12-1985	Koal	—
	AD1	US-4,539,554	09-03-1985	Jarvis <i>et al.</i>	—
	AE1	US-4,555,953	12-03-1985	Dario <i>et al.</i>	—
	AF1	US-4,634,917	01-06-1987	Dvorsky <i>et al.</i>	—
	AG1	US-4,643,028	02-17-1987	Kondo <i>et al.</i>	—
	AH1	US-4,709,342	03-21-1989	Ratzlaff <i>et al.</i>	—
	AI1	US-4,814,661	03-21-1989	Ratzlaff <i>et al.</i>	—
	AJ1	US-4,849,668	07-18-1989	Crawley <i>et al.</i>	—
	AK1	US-5,055,838	10-08-1991	Wise <i>et al.</i>	—
	AL1	US-5,224,174	06-29-1993	Schneider <i>et al.</i>	—
	AM1	US-5,273,045	12-28-1993	Chihara <i>et al.</i>	—
	AN1	US-5,311,095	05-10-1994	Smith <i>et al.</i>	—
	AO1	US-5,339,051	08-16-1994	Koehler <i>et al.</i>	—
	AP1	US-5,365,154	11-15-1994	Schneider <i>et al.</i>	—
	AQ1	US-5,421,335	06-06-1995	Wild	—
↓	AR1	US-5,424,596	06-13-1995	Mendenhall <i>et al.</i>	—

## FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						Class / Sub
Examiner Initials*	File No.*	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>d</sup>
MB	BA1	DE 19750179 A1	02-25-1999	Hahn-Jose	—	
	BB1	EP 0649116 A1 and B1	04-19-1995	Tamori	—	
	BC1	JP 7-059769	03-07-1995	Toshiro <i>et al.</i>	—	
	BD1	JP 8-336534	12-24-1996	Takeshi	—	
	BE1	WO01/18740 A1	03-15-2001	Schneider <i>et al.</i>	—	
↓	BF1	WO01/19016 A1	03-15-2001	Schneider <i>et al.</i>	—	

**Examiner Signature**

MARK BUDD,  
PRIMARY EXAMINER

Date  
Considered

6-29-84

**\*EXAMINER:** Initial if reference considered whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 601.04.

Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. \*Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.87 and 1.88. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<p style="text-align: center;"><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p style="text-align: center;">(Use as many sheets as necessary)</p>															
<p>Sheet <b>2</b> of <b>3</b></p>		<p><b>Complete If Known</b></p> <table border="1"> <tr> <td><i>Application Number</i></td> <td><b>10/622,707</b></td> </tr> <tr> <td><i>Filing Date</i></td> <td><b>July 21, 2003</b></td> </tr> <tr> <td><i>First Named Inventor</i></td> <td><b>Walter G. Scott</b></td> </tr> <tr> <td><i>Art Unit</i></td> <td><b>2834</b></td> </tr> <tr> <td><i>Examiner Name</i></td> <td><b>Budd, Mark Osborne</b></td> </tr> <tr> <td><i>Attorney Docket Number</i></td> <td><b>1823.0150007</b></td> </tr> </table>		<i>Application Number</i>	<b>10/622,707</b>	<i>Filing Date</i>	<b>July 21, 2003</b>	<i>First Named Inventor</i>	<b>Walter G. Scott</b>	<i>Art Unit</i>	<b>2834</b>	<i>Examiner Name</i>	<b>Budd, Mark Osborne</b>	<i>Attorney Docket Number</i>	<b>1823.0150007</b>
<i>Application Number</i>	<b>10/622,707</b>														
<i>Filing Date</i>	<b>July 21, 2003</b>														
<i>First Named Inventor</i>	<b>Walter G. Scott</b>														
<i>Art Unit</i>	<b>2834</b>														
<i>Examiner Name</i>	<b>Budd, Mark Osborne</b>														
<i>Attorney Docket Number</i>	<b>1823.0150007</b>														

case / 5-5

class / sub

FOREIGN PATENT DOCUMENTS						class	Sub
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>2</sup>	
76	BA2	WO01/67383 A1	09-13-2001	Koo	—		
	BB2	WO01/91626 A2	12-06-2001	Currie <i>et al.</i>	—		
	BC2	WO02/12660 A1	02-14-2002	Koo	—		
	BD2	WO02/48485 A1	06-20-2002	Koo	—		
	BE2	WO03/15011 A1	02-20-2003	Koo	—		
✓					—		

**Examiner Signature**

~~MARK L. BUDD~~  
PRIMARY EXAMINER

**Date Considered**

6-27-04

serial number of the patent document. \*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. \*Applicant is to place a check mark here if English language Transaction is attached.

This collection of Information is required by 37 CFR 1.97 and 1.98. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449B/PTO				<i>Complete If Known</i>	
				<i>Application Number</i>	10/622,707
				<i>Filing Date</i>	July 21, 2003
				<i>First Named Inventor</i>	Walter G. Scott
				<i>Art Unit</i>	2834
				<i>Examiner Name</i>	Budd, Mark Osborne
Sheet	3	of	3	<i>Attorney Docket Number</i>	1823.0150007

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
<i>MMB</i>	CA1	Schneider <i>et al.</i> , "Live Scan Fingerprint Imagery Using High Resolution C-Scan Ultrasonography," <i>Proceedings of the 25<sup>th</sup> Annual 1991 IEEE Int'l Carnahan Conference on Security Technology</i> , IEEE, October 1-3, 1991, Taipei, Taiwan, pp. 89-95.	
	CB1	Patent Abstract of Japanese Publication Number 08336534, Japanese Patent Office, 1 page (December 1996).	
	CC1	Patent Abstract of Japanese Publication Number 07059769, Japanese Patent Office, 1 page (March 1995).	
	CD1	DIALOG File 349 (PCT FULL TEXT) (1 page) English Language abstract of Patent WO 9910874, published March 4, 1999.	
	CE1	DIALOG File 349 (PCT FULL TEXT) (1 page) English Language abstract of Patent DE 19750179 A1, published February 25, 1999.	
	CF1	Davidsen, R. and Smith, S., "Two-Dimensional Array for Medical Ultrasound Using Multilayer Flexible Circuit Interconnection," <i>IEEE Transactions on Ultrasonics, Ferroelectrics, and Frequency Control</i> , IEEE, Vol. 45, No. 2, pp. 338-348 (March 1998).	
<i>✓</i>	CG1	Roethenbaugh, Gary, "Biometrics Explained," <i>International Computer Security Association</i> , Vol. 2.0, pp. 1-34 (1998)	

MARK U. BUDD

Examiner Signature	<i>MARK U. BUDD</i>	Date Considered	6-27-04
	<i>PRIMARY EXAMINER</i>		
	<i>ART UNIT 219</i>		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with M PEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-8199 and select option 2.